

03-17-10

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Case Docket No. YKI-0034

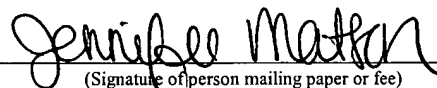
The Assistant Commissioner of Patents  
Washington, D.C. 20231"Express Mail" mailing label number EL492973085US

Date of deposit March 16, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Jennifer Matson

(Typed or printer name of person mailing paper or fee)



(Signature of person mailing paper or fee)

jc525 U.S. PTO  
09/527410

03/16/00

Sir:

Transmitted herewith for filing is the patent application of :

INVENTOR(S): BING LIN YANG

FOR: SURGE ABSORBER WITHOUT CHIPS

Enclosed are:

☒ 29 pages of specification.☒ 7 claims.☒ 8 sheet(s) of drawing(s).☒ Declaration and Power of Attorney☒ Information Disclosure Statement.☐ An associate power of attorney.☐ An assignment of the invention to \_\_\_\_\_.☒ A certified copy of a Japanese application.☒ A verified statement to establish small entity under 37 CFR 1.9 and 37 CFR 1.27.

The filing fee has been calculated as shown below:

			SMALL ENTITY		LARGE ENTITY	
FOR:	# FILED	# EXTRA	RATE	FEE	RATE	FEE
BASIC FEE	////////	////////	\$345	\$345.00	\$690	\$ 690
TOTAL CLAIMS (20)	12	0	X 9		x 18	
INDEP. CLAIMS (3)	2	0	x 39		x 78	
MULTIPLE DEPENDENT CLAIMS	0	0	+130		+260	
			TOTAL	\$345.00	TOTAL	

jc759 U.S. PTO  
03/16/00

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[X] Please charge my Deposit Account # **06-1130** the amount of \$ . A duplicate copy of this sheet is enclosed.

[X] A check in the amount of \$345.00 to cover the filing fee is enclosed.

[ ] A check in the amount of \$ .00 to cover recordation of the assignment is enclosed.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account **06-1130**. A duplicate of this sheet is attached.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 37 CFR 1.17.

Please file this application and conduct all future correspondence with Applicant's attorney identified below.

Respectfully Submitted,

BING LIN YANG

Applicant's Attorney

By: 

Edward J. Ellis

Registration No. 40,389

Customer No. 23413

Date: March 16, 2000

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00970-072500

CANTOR COLBURN LLP  
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Applicant: Bing Lin YangSerial or Patent No: \_\_\_\_\_ Attorney's Docket No: YKI-0034Filed or Issued: March 16, 2000 For: Surge Absorber Without Chips

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) AND 1.27 (c)) - INDEPENDENT INVENTOR**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

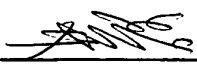
SURGE ABSORBER WITHOUT CHIPS described in

- ☒ the specification filed herewith  
☐ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, Issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity if no longer appropriate (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believe to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Bing Lin YANG</u>		
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>Feb. 1, 2000</u>		
Date	Date	Date